



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

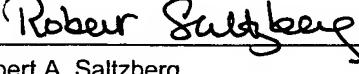
(to be used for all correspondence after initial filing)

| | | | |
|--|-------------|------------------------|---------------------|
| | | Application Number | 10/652,750 |
| | | Filing Date | August 28, 2003 |
| | | First Named Inventor | David E. NORTON Jr. |
| | | Art Unit | 2651 |
| | | Examiner Name | Not Yet Assigned |
| Total Number of Pages in This Submission | 5 + 3 refs. | Attorney Docket Number | 249212022500 |

ENCLOSURES (Check all that apply)

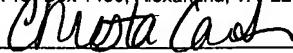
| | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | * PTO/SB/08a/b (1 page) |
| <input checked="" type="checkbox"/> Information Disclosure Statement (3 pages) | <input type="checkbox"/> CD, Number of CD(s) | * One copy of each of the 3 non-US references |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Landscape Table on CD | * Return Receipt Postcard |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | MORRISON & FOERSTER LLP (Customer No.: 20872) | | |
| Signature |  | | |
| Printed name | Robert A. Saltzberg | | |
| Date | 3/15/05 | Reg. No. | 36,910 |

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 4/16/05

Signature:  (Christa Carter)



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 4/10/05

Signature:

(Christa Carter)

Attorney Docket No.: 249212022500
Application No.: 10/652,750

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
David E. NORTON Jr.

Serial No.: 10/652,750

Filing Date: August 28, 2003

For: CORRELATION RECEIVER FOR
DEMODULATING SERVO TRACK
INFORMATION

Examiner: Not Yet Assigned

Group Art Unit: 2651

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §§ 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO/SB/08a/b were cited in a European Search Report mailed on January 13, 2005 (copy attached) directed to a counterpart international or foreign application. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

This Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.**
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - A fee is required. A check in the amount of __ is enclosed.
 - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of __ is enclosed.
 - A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **249212022500**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: 3/15/05

Respectfully submitted,

By Robert Saltzberg
Robert A. Saltzberg
Registration No.: 36,910
MORRISON & FOERSTER LLP
425 Market Street
San Francisco, California 94105-2482
(415) 268-6428



APR 08 2005

ALTERNATIVE TO PTO/SB/08a/b (06-03)

| | | | | | | | |
|---|---|----|---|--------------------------|------------------|----------------------|----------------------|
| Substitute for form 1449/PTO | | | | <i>Complete if Known</i> | | | |
| | | | | | | Application Number | 10/652,750 |
| | | | | | | Filing Date | August 28, 2003 |
| | | | | | | First Named Inventor | David E. NORTON, Jr. |
| | | | | | | Art Unit | 2651 |
| | | | | Examiner Name | Not Yet Assigned | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | | | |
| <i>(Use as many sheets as necessary)</i> | | | | | | | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| AA | US-4,399,474-A | 08-16-1983 | Coleman, Jr. | | |
| AB | US-5,231,544-A | 07-27-1993 | Matsushige | | |
| AC | US-5,363,100-A | 11-08-1994 | Bailey et al. | | |
| AD | US-5,373,400-A | 12-13-1994 | Kovacs | | |
| AE | US-5,388,011-A | 02-07-1995 | Tollum | | |
| AF | US-5,508,855-A | 04-16-1996 | Hutchins et al. | | |
| AG | US-5,530,601-A | 06-25-1996 | Hutchins et al. | | |
| AH | US-5,949,597-A | 09-07-1999 | Pahr | | |
| AI | US-6,031,672-A | 02-29-2000 | Bergquist et al. | | |
| AJ | US-6,081,768-A | 06-27-2000 | Hu et al. | | |
| AK | US-6,100,829-A | 08-08-2000 | Fredrickson et al. | | |
| AL | US-6,246,535-B1 | 06-12-2001 | Saliba et al. | | |
| AM | US-6,499,662-B1 | 12-31-2002 | Coleman et al. | | |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-------------------------|--|--------------------------------|--|--|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| BA | EP- 0 729 148-A | 08-28-1996 | Seagate Technology | | |
| BB | JP- 1029024- A Abstract | 01-31-1989 | NEC Corp. | | Yes (Abstract only) |

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|-----------------------|---|--|--|----------------|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | T ² |
| | CA | European Search Report completed on January 5, 2005 and mailed on January 13, 2005, for EP patent application no. 04254871.9, filed on August 13, 2004: 5 pages. | | | |

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

sf-1883222